



INNOVATION CELL
(Government of India)



Ministry of Education
(Government of India)



**ATAL RANKING OF INSTITUTIONS
ON INNOVATION ACHIEVEMENTS**

Certificate of Recognition

This is to certify that

**YASHASWI EDUCATION SOCIETY'S INTERNATIONAL INSTITUTE OF
MANAGEMENT SCIENCE, ELPRO INTERNATIONAL, CHINCHWAD, PUNE 411003**

is recognised in the band "PROMISING" under the category

"Colleges/Institutes (Private / Self Financed) (Technical)"

in Atal Ranking of Institutions on Innovation Achievement (ARIIA) 2021,

a flagship program of the Ministry of Education, Government of India.

29th December 2021.

Dr. Anil D Sahasrabudhe
Chairman, AICTE

Shri K Sanjay Murthy
Secretary (HE), MoE

Dr. Abhay Jere
Chief Innovation Officer
MoE's Innovation Cell

Audit Report

Management Systems Certification



1. Company Information

Company name	Yashaswi Education Societys, International Institute of Management Science
Address	Sr. No. 169/1/A, Opp. Elpro International, Chinchwad, Pune – 411033, Maharashtra, INDIA.
Other audited sites	—
Company representative	Mr. Gangadhar Dukare
Company description, business activities	<p>The Organization is a Proprietary started its activity in 2011 & having built up area of 2992.65 Sq Mtr which is headed by Mr. Shivaji Mundhe</p> <p>Main Clients includes Students</p> <p>The organization is into Provision of Management Education through Courses approved by AICTE and Affiliated Universities.</p>

2. Audit Information

Audit date(s)	Enter date(s) if applicable	Stage 1: NA	Enter date(s) if applicable	Stage 2: 16.03.2023	until: 16.03.2023
Audit type: 1. Surveillance Audit	Audit Method: Single Audit	Number of employees relevant for the Scope: 19		Audit duration: 4:30 hrs	
Audit Standard(s)	Standard 1:	Standard 2:	Standard 3:	Standard 4 (further):	
		ISO 21001:2018	Please chose	Please chose	
Registration no.:		20285223014424			
Scope of application	<input checked="" type="checkbox"/> No Changes <input type="checkbox"/> Adjustment required	EAC Scope: 37	NACE Code: <small>Click or type here to enter text</small>	Category (ISO 22003): <small>Click or type here to enter text</small>	
Lead Auditor	Expert		Trainee		
Mangesh Kawate (MK)					

3. Audit Objectives

The audit objectives are the following:

1. Determination of the conformity of the client's management system, or parts of it, with audit criteria within the scope of the Management System.
2. Determination of the ability of the management system to ensure the client organization meets applicable statutory, regulatory, and contractual requirements.
3. Determination of the effectiveness of the management system to ensure the client organization can reasonably expect to achieve its specified objectives.
4. As applicable, identification of areas for potential improvement of the management system.
5. Review of any management system's changes.
6. Validation that the management system was effectively applied in the previous period (valid for surveillance or recertification audits) and to verify its readiness for the coming period.

The audit was carried out according to the relevant applicable procedure for Management Systems Certification and the relevant Regulation for Certification of TÜV AUSTRIA. The basic information documenting the results of the audit are included into this report, and in total, into the audit questionnaire, the copies of documents and other evidence obtained during the audit.

If there are relevant changes to the management system or the documents during the validity period of the certificate, the company is requested to inform the certification body of these changes. Regarding the sampling nature of the assessment, it should be noted that there may be other nonconformities that were not identified during the audit. The findings of the auditor(s) do not relieve the company of its responsibility to ensure compliance and continuous adherence to the standard requirements. During the audit, numerous further observations were made which are documented in the audit checklists of TÜV AUSTRIA or in handwritten records (the samples taken). These were deliberately not reproduced in full here.

CHARACTERIZATION OF FINDINGS

- 1: Full Compliance
- 2: Observation, the effectiveness of the corrective action is evaluated during the next audit
- 3: Minor Non-Conformity(-ies): reviewed and accepted the client's plan for correction and corrective action
- 4: Major Non-Conformity(-ies): Correction through submission of Documents or Re-audit
- OFl: Opportunities for Improvement: No further action is required by the client
- NA: Not Applicable or/and is Excluded

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4. Detailed results of the audit

Result Audit Stage 1 No audit stage 1 was planned for this year's audit procedure.
NA

Requirement	Evaluation (1,2 N/A)		
	
A. Management System <i>(Justification of any Exclusion for ISO 9001)</i>	NA		
B. Management Review	NA		
C. Internal Audit	NA		
D. Legislative Requirements <i>(License of Operation, Authorizations etc.)</i>	NA		
E. Scope of the quality management system	NA		

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LIST OF DEVIATIONS FOR STAGE 1⁽¹⁾

s/n	Findings Description	Relevant Standard(s)	§ clause of the Standard	Time allowed to close deviations until (Maximum 6 months after the completion of stage 1)		
				Corrective action	Correction evidence	Evaluation of corrective action
NA	NA	NA	NA	NA	NA	NA

Place, Date: Pune		Completion of Corrective Actions	
Lead Auditor (Name and Signature)	Company Representative (Name and Signature)	Place, Date: Pune Company Representative (Name and Signature)	Place, Date: Pune Lead Auditor (Name and Signature)
Mangesh Kawale (MK)	Gangadhar Quare 	Gangadhar Quare 	Mangesh Kawale (MK)

⁽¹⁾ Areas of concern that could be classified as a nonconformity during stage 2.

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Surveillance

Audit Conclusions

Audit

Stage 2

Surveillance

Recertification

Minimum Mandatory Requirements

	Audited	Result
Compliance to all the requirements of the relevant management system standard (or other normative document related to the management system)	<input checked="" type="checkbox"/>	1
Conclusion / Comment: The Organisation complies to all the requirements/ processes of the ISO 21001:2018 standard.		
Monitoring of the performance, measurement, reports and reviews in comparison to the main goals and objectives (related to the expected outcomes that are resulted from the requirements of the applicable management systems standards)	<input checked="" type="checkbox"/>	1
Conclusion / Comment: Performance monitoring of all the processes is carried out with the KPIs set & records are maintained.		
Management System performance in relation to statutory/regulatory/ contractual requirements	<input checked="" type="checkbox"/>	1
Conclusion / Comment: All Legal & Contractual requirements are complied and the monitoring for the same is carried out and record maintained.		
GST: 27AAATY1589L1ZS University Approval for MBA & MCA: CA/1246 Dated 08.08.2022 University Approval for PHD: CA/120 dated 03.02.2022 AICTE Approval for MBA & MCA Course: Western/1-10969231019/2022/EOA Dated 02.06.2022 Fire Extinguishers: 14 NOS (ABC Type) refilled on 29.12.2022 and next due is on 28.12.2023, refilled done by VKG Fire and Safety with certificate no 122		
Monitoring of processes ⁽²⁾	<input checked="" type="checkbox"/>	1
Conclusion / Comment: Objectives for all process are set along with the targets and monthly monitoring for the same is carried out & if any deviation observed than the responsible person & next target date for its compliance is set.		

Objective Monitoring: IIMS/MR/17
 Student Success Rate: Target is 100% / Actual is 75.43%
 Satisfaction Of Learner:
 Student: Target is 90% / Actual is 92% average for MBA and MCA students
 Parents: Target is 90% / Actual is 91.07%
 Staff: Target is 90% / Actual is 88.44%
 Placement Assistance: Target is 100% / actual is 75%
 Publication and Research Output for staff: Actual is 10 nos
 No of Hours of Continuing Professional Devp: Actual is 136 Hrs
 Attendance Rate of Learners: Target 70% / Actual is 79%

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Internal Audit and Management Review

1

Conclusion / Comment: Internal audit is carried out at 6 monthly intervals and the plan, schedule, reports are evident. Top Management chairs the Management Review Meeting and action plan evident for achieving the goals.

Internal Audit: 06 monthly
Internal audit schedule of Oct 2022 in format no IIMS/MR/02 is maintained
Internal audit is conducted on date 04.10.2022 and recorded in format no IIMS/MR/03
No of NC: Nil , 02 OFI observed

MRM: 06 monthly
MRM circular 04.10.2022 is verified
MRM review meeting report dated 11.10.2022 and report is available in format no IIMS/MR/04

Upper Management Responsibility for the stated Policies

1

Conclusion / Comment: Top management is committed for overall development of the organisation. The organization Management has demonstrated leadership and commitment with respect to the quality management system through Business plan.

Operational license: GST: **27AAATY1589L1ZS**
Context of Educational Institute. Internal & External issues identified.
Interested parties includes Learners, Staff of Institute. EOMS Manual QM/04/01, Rev 00, Dtd: 01.10.2021
Scope: **Provision of Management Education through Courses approved by AICTE and Affiliated Universities.**

Outsourced Process Includes: Assesst Maintenance (AMC) and control over by assessment.

EOMS Policy Edition 00/01.10.2021
Signed by the **President** : Mr. Vishvesh Kulkarni

Communicating the Policy via display Boards, Internal Emails, daily meetings in morning

Organisational chart QM/05/01/00 and/or JDs for all key position of the chart eg. Teaching Faculty, Administrator, Librarian and communicated on organisation.

Management System Effectiveness

1

Conclusion / Comment: The effectiveness of management system is monitored and no deviation observed.

The corrective actions from the previous audit (action list) were reviewed and their effectiveness was verified

1

Conclusion / Comment: Effectiveness of corrective action for the previous audit were verified and found to be effective.

* Complaint management and handling

1

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Conclusion / Comment: Complaint monitoring carried out & CAPA for the same carried out.

Changes review

Conclusion / Comment: Any changes in process are reviewed & amendments made in the documents.

Use of the logo and / or any reference to the certification

Conclusion / Comment: Use of TUV AUSTRIA Logo was in compliance with the guidelines.

(2) Special aspects considered in respect to the standards shown below:

ISO 14001

- Layout and site adequacy
- Environmental aspects (ie. wastewater, air pollution, energy consumption etc.)
- Storage, transport and dangerous goods, special working materials
- Production area(s)

ISO 45001

- Risk identification and assessment
- Preventive measures
- Accidents and incidents
- Precautions for emergency preparedness

ISO 50001

- Energy evaluation – SEUs
- Energy performance indicators
- Energy baseline
- Energy performance improvement

ISO 22000

- HACCP plan
- Prerequisite programs
- Product processing and handling infrastructure

Detailed information and data for all the above are part of the audit evidences described in the relevant checklist(s)

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5. Recommendation of the audit team

The certification scope is appropriate	YES	<input checked="" type="checkbox"/>	NO	<input type="checkbox"/>
The audit objectives have been fulfilled	YES	<input checked="" type="checkbox"/>	NO	<input type="checkbox"/>
Findings raised	YES (see Annex)	<input type="checkbox"/>	NO (No Annex required)	<input type="checkbox"/>

Standard(s)	Audit team Suggestion	
ISO 21001:2018 Without Design	<input type="checkbox"/>	Issue of the certificate / Verification Statement
	<input checked="" type="checkbox"/>	Maintenance of Certificate
	<input type="checkbox"/>	Renewal of Certificate
	<input type="checkbox"/>	Withdrawal of Certificate
	AFTER	<input checked="" type="checkbox"/> no other action <input type="checkbox"/> Correction of Non-Conformities with the submission of Documents <input type="checkbox"/> Correction of Non-Conformities with the Re-audit

Place, Date:	Pune, 16.03.2023		
Lead Auditor	Mangesh Kawale (MK)	Auditor (s)	
Signature		Signature	

CLIENT ACCEPTANCE

Company Representative (Name and Surname)	SHIVAJI MUNDE	Signature / Stamp 	Period of the next audit (Month and year)	03/2024
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Other Information / Disclaimer:

During the validity of the Certificate, the certified company has the obligation to inform the Certification Body for any changes of the Management System and its documentation. It should be noted that the audit is based on sampling of the available information, which means that additional non – conformities may exist, besides the ones that have been documented during the audit. The result of the audit does not release the audited company from its responsibility to control the installed Management System, as well as of the maintenance and conformity to the requirements of the standard(s) for which the certificate(s) has (have) been awarded.

The Certification Body or the Auditor does not, under no circumstances, substitute or replace the control enforced by the relevant National Authorities. The responsibility for the enforcement and the assessment of compliance with relevant legislation and regulations remains, in any case, company's responsibility.

7. Distribution list

- ✓ Client
- ✓ Certification Body of TÜV AUSTRIA
- ✓ Audit team

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Annex I⁽¹⁾ LIST OF FINDINGS

sln	Relevant standard	§ clause of the Standard	Finding Description	Characterization	Root Cause and Corrective Action	Completion of corrective actions until:	Correction Evidence for Non-Conformities	Evaluation/ Verification of corrective action
1	ISO 9101:2016 Without Design	6.2	Objectives Monitoring for MBA and MCA to be separate	OFI	Root Cause: Lack of awareness CA: Objectives will be separately defined and monitored for MCAMBA	25.03.2023	—	Will be verified during next audit

¹ Observation, the effectiveness of the corrective action is evaluated during the next audit, ² Minor Non-Conformity(-ies): reviewed and accepted the client's plan for correction and corrective action, ³ Major Non-Conformity(-ies): Correction through submission of Documents or Re-audit, ^{OFI}: Opportunities for Improvement. No further action is required by the client

Place, Date: Pune, 16.03.2023		Completion of Corrective Actions	
Lead Auditor (Name and Signature)	Company Representative (Name and Signature)	Place, Date: Pune, 16.03.2023 Company Representative (Name and Signature)	Place, Date: Pune, 16.03.2023 Lead Auditor (Name and Signature)
Mangesh Kawale (MK) 	Gangadhar Duncure 	Gangadhar Duncure 	Mangesh Kawale (MK)

⁽¹⁾ List of Findings can be handled as an independent document until completing the NC evaluation process. However, it is considered an integral part of the final audit report.
⁽²⁾ There is no need of signature in case there are no graded findings raised



CERTIFICATE

**Management system as per
ISO 21001:2018**

In accordance with TÜV AUSTRIA CERT procedures, it is hereby certified that

**Yashaswi Education Society's, "International Institute of
Management Science"**

**Sr. No. 169/1/A, Opp. Elpro International, Chinchwad,
Pune - 411033, Maharashtra, India**

applies a management system in line with the above standard for the
following scope

**Provision of Management Education through Courses approved by AICTE
and Affiliated Universities**

Certificate Registration No. 20285223014424

Valid until 2025-05-08

Initial certification: 2022-05-09

Certification Body
at TÜV AUSTRIA CERT GMBH

Vienna, 2022-05-09

This certification was conducted in accordance with TÜV AUSTRIA CERT auditing and certification
procedures and is subject to regular surveillance audits.

TÜV AUSTRIA CERT GMBH Deutschstraße 10 A-1230 Wien www.tuv.at

